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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michael Underwood et al. ) Group Art Unit: 3624  
Serial No.: 09/580,145 ) Examiner: Narayanswamy Subramanian  
Filed: May 30, 2000 )  
For: METHOD FOR CONDUCTING A )  
COMPUTERIZED )  
GOVERNMENT AUCTION )

COMMUNICATION

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing are the following documents:

1. Completed Issue Fee Transmittal form (in duplicate);
2. Check No. 027659 in the amount of \$ 700.00 for payment of the Issue Fee;
3. Comments on Statement of Reasons for Allowance; and
4. Self addressed stamped postcard.

Respectfully submitted,

Date: May 3, 2005

By: 

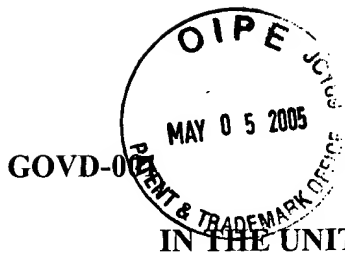
Joseph M. Kuo (Reg. No. 38,943)

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CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop ISSUE FEE, Commissioner for Patent, P.O. Box 1450, Alexandria, VA 22313-1450 on May 3, 2005.

  
Joseph M. Kuo



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Underwood et al. )  
Serial No.: 09/580,145 ) Examiner: Narayanswamy Subramanian  
Filed: 05/30/2000 )  
For: METHOD FOR CONDUCTING A ) Group Art Unit: 3624  
COMPUTERIZED GOVERNMENT )  
AUCTION )

**COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE**

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Sir:

Applicants hereby respectfully submit this paper with comments on the statement of reasons for allowance.

Applicants respectfully disagree with the description of the Silverman patent (U.S. Patent No. 5,924,082). The Silverman patent is not directed to auctioning assets at all. Silverman is directed to a negotiated matching system which introduces potential parties to a transaction based on a set of transaction parameters input into the system by each party, and then enables communication between the potential parties to negotiate a second, negotiated set of transaction parameters. This Silverman system is entirely dissimilar from the present invention.

Silverman fails to disclose the step of receiving seller input comprising a first asset profile code for the asset. There is no disclosure in Silverman about the seller of an asset providing information about the asset to be auctioned or limiting by the particular asset, which buyers can bid on the asset.

The Silverman patent also fails to disclose the step of retrieving information provided by



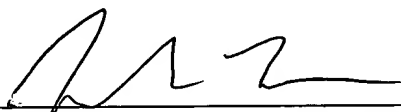
at least one buyer, the information comprising a buyer profile code. In Silverman, the trader and potential counterparties each provide a list of parties with whom they wish to open negotiations. The computer then matches those parties that have ranked each other highly. The ranking for a particular party is not provided by the party itself. Rather, other parties provide this information.

Silverman also does not disclose profile codes. As such, another step that is not disclosed by the Silverman patent is the step requiring comparing the buyer profile code of the at least one buyer with the first asset profile code.

Silverman also fails to disclose displaying the asset to the authorized buyer, offering the asset for bidding through the auction, and accepting bids from the buyer. Instead, Silverman discloses that even after matching the ranking of potential parties, the parties must then communicate to negotiate terms under which further transactions can occur. There is no disclosure of opening assets up for auction to all qualified buyers.

Respectfully submitted,

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